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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

**Hornung et al.** )Application No.: **09/925,293** )Filed: **August 9, 2001** )For: **METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH** )

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on October 10, 2001.

By: \_\_\_\_\_

Michele Hollis

**SUBMISSION OF MISSING PARTS OF  
APPLICATION UNDER 37 C.F.R. 1.53**

Dear Sir:

Enclosed are the following items for filing in the above-referenced U.S. patent application:

- [x] A check in the amount of \$608 to cover the total filing fee (\$568); the surcharge set forth in 37 C.F.R. 1.16(e) (\$65); and the Assignment recordal fee (\$40);

<b>Basic Fee - Small Entity</b>							<b>\$ 370.00</b>
<b>Multiple Dependent Claims (270.00)</b>							
<b>Foreign Language Surcharge (\$130.00)</b>							
	<b>For</b>	<b>No. Filed</b>	<b>-</b>	<b>No. Extra</b>	<b>-</b>	<b>Rate</b>	
<b>EXTRA CLAIMS</b>	<b>Total Claims</b>	<b>42</b>	<b>20</b>	<b>22</b>	<b>x</b>	<b>\$ 9.</b>	<b>= \$ 198.00</b>
	<b>Independent Claims</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>x</b>	<b>\$ 42.</b>	<b>= \$</b>
<b>TOTAL FILING FEE</b>							<b>= \$ 568.00</b>

- [x] Return receipt postage prepaid postcard;

- [x] Formalities Letter (Part 2) mailed September 24, 2001;

- [x] Declaration and Power of Attorney, signed by the Applicant Hornung on August 31, 2001 and by Applicant France on September 14, 2001;
  - [x] Assignment executed by Applicant Hornung on August 30, 2001 and by Applicant France on September 14, 2001, together with separate transmittal form PTO-1595
    - [ X] Please include assignee information when this application is published
- Assignee: Vertical Ventures V-5, LLC**  
**1175 Post Road East**  
**Westport, CT 06880**
- [x] Information Disclosure Statement, together with Form PTO-1449 and copies of the cited documents.

Having now complied with all of the requirements of 37 C.F.R. Section 1.53, Applicants respectfully request that this application be placed upon the files for examination.

The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

Respectfully submitted,

Date: October 10, 2001

ATTORNEY DOCKET NO.: IND-109.1



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,293	08/09/2001	Robert Hornung	IND-109.1

CONFIRMATION NO. 3317

## FORMALITIES LETTER



\*OC000000006779880\*

20028  
LAW OFFICE OF BARRY R LIPSITZ  
755 MAIN STREET  
MONROE, CT 06468

Date Mailed: 09/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$198.
  - \$198 for 22 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 618.

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*A copy of this notice **MUST** be returned with the reply.*

S.A

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2001 BNGUYEN1 00000001 500625 09925293

01 FC:201 370.00 OP  
02 FC:203 198.00 OP